

Supervisor:

Company:

4. PCIV of each person affected:

Supervisor – would want to punish/warn Marvin for his actions, as he disobeyed company policy

Company – same as supervisor

5. Three alternative courses of action/best and worst case scenarios for each:

1. Warn Marvin about his actions

Pros: educates Marvin not to repeat his actions in the future

2. Set up a E-mail surveillance system between company computers

Pros: allows company to keep track of computer use by its employees

Cons: may be an invasion of privacy

6. Determine course of action:

The best course of action would be to warn Marvin, along with set up system so that the company system can detect when computers are being used personally.

Case 4:

1. Ethical Issue: Conway is taking advantage of a loophole in the company program to benefit his mother.

2. Facts: Conway is aware of the fact that the employee benefits program is only for his individual benefit, there is a loophole in the program, Conley overhauls his intentions.

3. Anyone who may be affected:

Company

Conway

Conley

4. PCIV of each person affected

Company – would want the loophole fixed

Conway – would want to benefit from the program

5. Three alternative courses of action/best and worst case scenarios:

1. Warn Conway that his actions are unethical

Pros – educates Conway that his intentions are unethical

2. Hold an office ethics seminar on the benefits program

Pros – Spreads awareness through the entire office, thus preventing future unethical acts

Cons – Taken up individual work time

3. Fix the loophole in the program

# Supervising Registered Representatives Case Studies In Suitability And Ethics

**Cinahl Information Systems Staff**



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