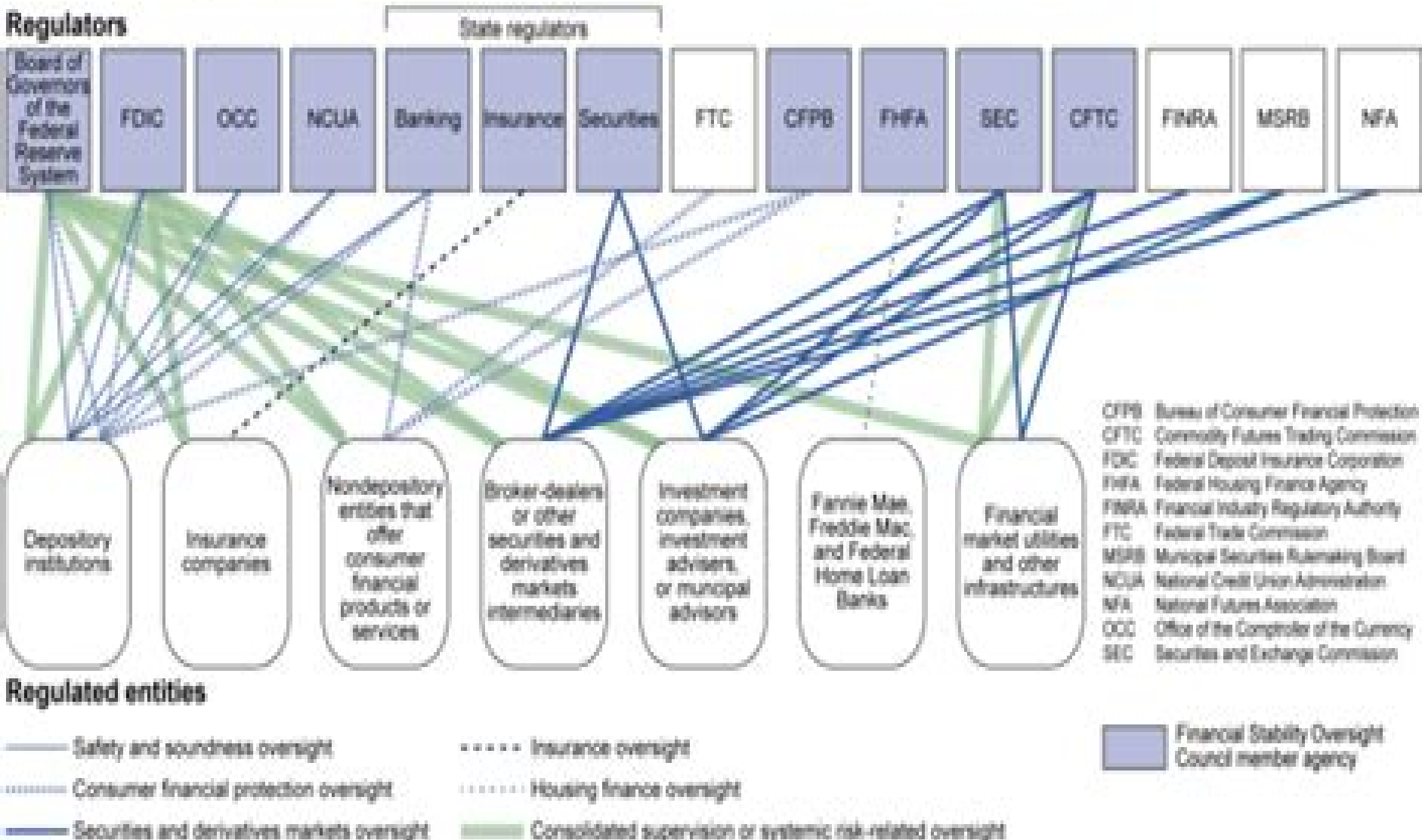


# U.S. Financial Regulatory Structure, 2016



Source: GAO. | GAO-16-175

**Note:** This figure depicts the primary regulators in the U.S. financial regulatory structure, as well as their primary oversight responsibilities. "Regulators" generally refers to entities that have rulemaking, supervisory, and enforcement authorities over financial institutions or entities. There are additional agencies involved in regulating the financial markets and there may be other possible regulatory connections than those depicted in this figure.

# Structure And Regulation Of Financial Markets

**Robert R. Redfield**



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